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| PPLICATION NO.                       | FI   | LING DATE   | FIRST NAMED INVENTOR | ATTORNEY DOCKET NO.     | CONFIRMATION NO. |  |
|--------------------------------------|------|-------------|----------------------|-------------------------|------------------|--|
| 09/689,139                           | 1    | 10/12/2000  | John Jianhua Chen    | S63.2-9178              | 7998             |  |
| 490                                  | 7590 | 08/25/2003  |                      |                         |                  |  |
| •                                    |      | STEINKRAUS, | EXAMINER             |                         |                  |  |
| 6109 BLUE CIRCLE DRIVE<br>SUITE 2000 |      |             |                      | NOLAN, SANDRA M         |                  |  |
| MINNETONKA, MN 55343-9185            |      | 55343-9185  |                      | ART UNIT                | PAPER NUMBER     |  |
|                                      |      |             |                      | 1772                    |                  |  |
|                                      |      |             |                      | DATE MAILED: 09/25/2002 |                  |  |

Please find below and/or attached an Office communication concerning this application or proceeding.

| Z.  | At'  |  |   | 1                  |  |  |
|---|--|--|---|--------------------|--|--|
|   |  | Application No.  | Applicant(s)  | <i>U</i>           |  |  |
|   |  | 09/689,139   | CHEN, JOHN JIA  | CHEN, JOHN JIANHUA |  |  |
|   | Office Action Summary  | Examiner   | Art Unit  |                    |  |  |
|   |  | Sandra M. Nolan  | 1772  |                    |  |  |
|   | The MAILING DATE of this communication app   | ears n the cover sheet with  | the correspondence ac   | Idress             |  |  |
| Peri d fo   | • •  | / 10 OET TO EVDIDE 4 MO  | NTUVO) EDOM   |                    |  |  |
| THE N - Exter after - If the - If NO - Failui - Any n | ORTENED STATUTORY PERIOD FOR REPLY MAILING DATE OF THIS COMMUNICATION. Insions of time may be available under the provisions of 37 CFR 1.13 SIX (6) MONTHS from the mailing date of this communication. period for reply specified above is less than thirty (30) days, a reply period for reply is specified above, the maximum statutory period we to reply within the set or extended period for reply will, by statute, eply received by the Office later than three months after the mailing dipatent term adjustment. See 37 CFR 1.704(b). | 36(a). In no event, however, may a repl<br>within the statutory minimum of thirty (will apply and will expire SIX (6) MONTH,<br>cause the application to become ABAN | y be timely filed  30) days will be considered time IS from the mailing date of this of IDONED (35 U.S.C. § 133). |                    |  |  |
| 1)⊠   | Responsive to communication(s) filed on 31 J   | <u>'uly 2003</u> .   |   |                    |  |  |
| 2a) <u></u> ☐   | This action is <b>FINAL</b> . 2b)⊠ Th  | is action is non-final.  |   |                    |  |  |
| 3)□<br>Dispositi                                      | Since this application is in condition for allowationsed in accordance with the practice under to on of Claims   |  |   | ne merits is       |  |  |
| ·   | Claim(s) <u>1-19</u> is/are pending in the application   |  |   |                    |  |  |
| •   | 4a) Of the above claim(s) is/are withdraw  | •  |   |                    |  |  |
|   | Claim(s) is/are allowed.   | ,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,  |   |                    |  |  |
| · · · · · · · · · · · · · · · · · · ·                 | Claim(s) <u>1-8 and 12-19</u> is/are rejected.   |  |   |                    |  |  |
| · <u> </u>  | Claim(s) <u>9-11</u> is/are objected to.   |  |   |                    |  |  |
| ·   | Claim(s) are subject to restriction and/or   | r election requirement.  |   |                    |  |  |
| •   | on Papers  | ·  |   |                    |  |  |
| 9)□ -   | The specification is objected to by the Examine  | r. ·   |   |                    |  |  |
| 10) 🗌 🗆   | Γhe drawing(s) filed on is/are: a)□ accep  | oted or b) objected to by the  | Examiner.   |                    |  |  |
|   | Applicant may not request that any objection to the  | e drawing(s) be held in abeyan   | ce. See 37 CFR 1.85(a).   |                    |  |  |
| 11) 🗌 🗆   | The proposed drawing correction filed on   | _is: a) ☐ approved b) ☐ dis  | approved by the Examir  | ier.               |  |  |
|   | If approved, corrected drawings are required in rep  | oly to this Office action.   |   |                    |  |  |
| 12) 🔲 🗆   | The oath or declaration is objected to by the Ex   | aminer.  |   |                    |  |  |
| Priority u  | inder 35 U.S.C. §§ 119 and 120   |  |   | ·                  |  |  |
| ∙13)□   | Acknowledgment is made of a claim for foreign  | priority under 35 U.S.C. §   | 119(a)-(d) or (f).  |                    |  |  |
| a)[   | ☐ All b)☐ Some * c)☐ None of:  |  |   |                    |  |  |
|   | 1. Certified copies of the priority documents  | s have been received.  |   |                    |  |  |
|   | 2. Certified copies of the priority documents  | s have been received in App  | olication No  | •                  |  |  |
| * S   | 3. Copies of the certified copies of the prior application from the International Burse the attached detailed Office action for a list   | reau (PCT Rule 17.2(a)).   |   | Stage              |  |  |
| 14)∐ A  | cknowledgment is made of a claim for domesti   | c priority under 35 U.S.C. §   | 119(e) (to a provisiona   | ıl application).   |  |  |
|   | )  The translation of the foreign language pro<br>Acknowledgment is made of a claim for domesti  |  | •   |                    |  |  |
| Attachmen   | t(s)   |  |   |                    |  |  |
| 2) Notic  | e of References Cited (PTO-892)<br>e of Draftsperson's Patent Drawing Review (PTO-948)<br>nation Disclosure Statement(s) (PTO-1449) Paper No(s) _  | 5) Notice of Info  | mmary (PTO-413) Paper No<br>ormal Patent Application (PT  |                    |  |  |
| S. Patent and To                                      | ademark Office   |  |   | <del></del>        |  |  |

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#### **DETAILED ACTION**

#### Claims

1. Claims 1-19 are pending.

## Allowable Subject Matter

2. Claims 9-11 remain objected to as being dependent upon a rejected base claim, but would be allowable if rewritten in independent form including all of the limitations of the base claim and any intervening claims.

## Rejections Withdrawn

3. The 35 USC 103 rejection of claims 1-8 and 12-19 as unpatentable over Tedeschi et al (US 6,218,016) is withdrawn in view of applicant's arguments on pages 1-3 of the response dated 31 July 2003 (Paper No. 9).

#### **New Rejections**

## Claim Rejections - 35 USC § 102

4. The following is a quotation of the appropriate paragraphs of 35 U.S.C. 102 that form the basis for the rejections under this section made in this Office action:

A person shall be entitled to a patent unless -

- (b) the invention was patented or described in a printed publication in this or a foreign country or in public use or on sale in this country, more than one year prior to the date of application for patent in the United States.
- 5. Claims 12-16 are rejected under 35 U.S.C. 102(b) as being anticipated by Hannam (GB 2115699A).

Hannam teaches a silicone rubber balloon **5** for a catheter (abstract) that is treated with a methoxysilane (page 1, lines 26-40) and dipped in water to hydrolyze the

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methoxy groups (page 1, lines 85). The silane is an aminoalkyl-substituted trimethoxysilane (page 1, lines 56-57).

It is well known in the polymer art that the methoxy groups in a silane will hydrolyze to yield Si-O-Si linkages.

# Claim Rejections - 35 USC § 103

- 6. The following is a quotation of 35 U.S.C. 103(a) which forms the basis for all obviousness rejections set forth in this Office action:
  - (a) A patent may not be obtained though the invention is not identically disclosed or described as set forth in section 102 of this title, if the differences between the subject matter sought to be patented and the prior art are such that the subject matter as a whole would have been obvious at the time the invention was made to a person having ordinary skill in the art to which said subject matter pertains. Patentability shall not be negatived by the manner in which the invention was made.
- 7. The factual inquiries set forth in *Graham* v. *John Deere Co.*, 383 U.S. 1, 148 USPQ 459 (1966), that are applied for establishing a background for determining obviousness under 35 U.S.C. 103(a) are summarized as follows:
  - 1. Determining the scope and contents of the prior art.
  - 2. Ascertaining the differences between the prior art and the claims at issue.
  - 3. Resolving the level of ordinary skill in the pertinent art.
  - 4. Considering objective evidence present in the application indicating obviousness or nonobviousness.
- 8. Claims 1-8 and 12-19 are rejected under 35 U.S.C. 103(a) as being unpatentable over Hannam in view of Nakagawa et al (US 6,479,584).

Hannam is discussed above. It fails to teach the use of hydrolysable silanes having groups other than amino groups thereon.

Nakagawa teaches molding materials (col. 19, line 58) containing moisture curable (col. 19, lines 41-42) combinations of silane crosslinkers with polymers and/or monomers. Compounds containing alkenyl groups and silyl groups are taught at col.

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14, lines 33-60. Crosslinking through the silyl groups is taught (col. 7, lines 50-60). Hydrolyzable groups of various kind are taught (col. 9, line 47 through col. 10, line 59). The reaction of aminosilane moieties with epoxysilyl moieties is taught (col. 17, lines 25-28). Polymers having terminal crosslinkable silyl groups thereon are taught (col. 13, lines 35-39), with hydrolyzable Y groups thereon (col. 3, lines 4-59). Isocyanate substituted silanes are taught (col. 17, lines 1-4). The resins are elastomers and are usable in medical devices (col. 25, lines 51-63).

The references are analogous because they both deal with the treatment of polymers with silanes to produce medical devices.

It would have been obvious to one having ordinary skill in the art at the time that the invention was made to employ the silane-crosslinkable compositions of Nakagawa to make the silicone rubber balloons of Hannam in order to assure that the silanes of Hannam would react with the silicone polymers of Hannam.

The motivation to employ the Nakagawa compositions to make the balloons of Hannam is found at col. 25, lines 61-62 of Nakagawa, where the use of Nakagawa's compositions to make medical devices is taught.

It is deemed desirable to make catheter balloons from silane-crosslinked silicone materials, such as those suggested by the combination of Hannam and Nakagawa, in order to take advantage of the chemical similarities between the silicone polymer matrices and the silane crosslinkers.

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# Response to Arguments

9. Applicant's arguments with respect to claims 1-19 have been considered but are moot in view of the new ground(s) of rejection.

#### Citation as of Interest

10. Terry (US 6,329,488) is cited as of interest as teaching silane copolymer coatings that may be used on polysiloxane rubber catheters (abstract).

## Conclusion

Any inquiry concerning this communication should be directed to the Examiner, Sandra M. Nolan, whose telephone number is 703/308-9545. The Examiner can normally be reached on Monday through Thursday, from 6:30 am to 4:00 pm, Eastern Time.

If attempts to reach the Examiner by telephone are unsuccessful, her supervisor, Harold Pyon, can be reached at 703/308-4251. The general fax number for the art unit is 703/305-5436. The fax number for after final communications is 703/872-9310. The receptionist answers 703/308-0661.

S. M. Nolan

Patent Examiner

Technology Center 1700

SMN/smn 09689139(10) 08 August 2003